

0321-01

Docket No CS98-106/7/8C

Anticipated Classification of this application:

Class : Subclass :

Prior application:

Examiner: T. NguyenArt Unit: 2813

Commissioner of Patents and Trademarks Washington, D.C. 20231

FILING UNDER 37 CFR 1.53(b)

This is a request for filing a

☒ Continuation
☐ Divisional

application under 37 CFR 1.53(b), of pending prior application

serial no. 09/351,237 filed on 7/12/99of YI XU, JIA ZHEN ZHENG, JANES C.M. HUI, CHARLES LIN, YIH SHUNG LINfor GAP FILLING PROCESS IN INTEGRATED CIRCUITS USING LOW DIELECTRIC CONSTANT**MATERIALS****1. Copy of Prior Application as Filed which is Attached**

☒ I hereby verify that the attached papers are a copy of what is shown in my records to be the above identified prior application, including 'the oath or declaration originally filed (37 CFR 1.53(b)) (See 6 for drawing requirements.)

☒ Declaration enclosed

2. Amendments

☐ Cancel in this application original claims _ _ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

EXPRESS MAIL CERTIFICATEExpress Mail No. EF230886448 US

I Hereby Certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, DC 20231. Applicant and/or Attorney requests the date of deposit as the Filing Date

3/26/01
Date of Deposit

[Signature]
Signature / Date

3/26/01

03/26/01
JC867 U.S. PTO

JC986 U.S. PTO
09/817473
03/26/01

3. Fee Calculation

CLAIMS AS FILED				
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 710.
TOTAL CLAIMS	20 -20=	0	x 18 =	\$ 0.
INDEP CLAIMS	3 -3=	0	x 80 =	\$ 0.
MULTIPLE DEPENDENT CLAIM PRESENTED			+ 260 =	

Filing Fee Calculation \$ 710

4. Method Of Payment of Fees

- ☒ Charge Account No. 19-0033 in the amount of \$ 710. A duplicate of this request is attached.
- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 19-0033
- ☒ 37 CFR 1.16 (filing fees and presentation or extra claims)

5. Drawings

Note: Do not check the following box if prior case is not to be abandoned.

- ☐ Transfer the drawings from the prior application to this application and, subject to item 13 below, abandon said prior application as of the filing date accorded this application. A duplicate Copy of this request is enclosed for filing in the prior application file. (May only be used if signed by (1) applicant (2) assignee of record or (3) attorney or agent of record authorized by 37CFR 1.138 and before payment of issue fee.)

- ☒ New drawings are enclosed
- ☐ formal ☒ Informal

6. Priority - 35 U.S.C. 119

- ☐ Priority of application serial no. _____ Filed on _____ In _____ Is claimed under 35 U.S.C. 119.
- ☐ The certified copy has been filed in prior application serial no. _____ filed on _____

7. Assignment

- ☒ The prior application is assigned of record to
CHARTERED SEMICONDUCTOR MANUFACTURING LTD.

8. Power of Attorney

☒ The power of attorney in the prior application is to **GEORGE O. SAILE, REG. NO. 19,572**

a. ☒ The power appears in the original papers in the prior application

b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

c. ☐ A new power has been executed and is attached.

d. ☒ Address all future communications to

**George O. Saile
20 McIntosh Drive
Poughkeepsie, N.Y. 12603**

9. Maintenance of copendency of Prior Application

(This item must be complete and the papers filed if the period set in the prior application has run)

☐ A petition, fee and response has been filed to extend the term in the pending prior application until _____.

☐ Please abandon the prior application when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Stephen B. Ackerman, Reg. No. 37,761

Type name of person signing



Signature

March 26, 2001

Date

20 McIntosh Drive

P.O. Address of Signatory

Poughkeepsie, NY 12603

Telephone No.: (914) 452-5863

☐ Inventor

☐ Assignee of complete interest

☐ Person authorized to sign on behalf of assignee

☒ Attorney or agent of record

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Yi Xu
Examiner Name	T. Nguyen
Group Art Unit	2813
Attorney Docket No.	CS08-107/108/109C

TOTAL AMOUNT OF PAYMENT (\$) 110.

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 19-0033
- Deposit Account Name: George O. Saile
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES		Large Entity Fee Code (\$)		Small Entity Fee Code (\$)		Fee Description	Fee Paid
105	130	205	65			Surcharge - late filing fee or oath	
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	390	216	195			Extension for reply within second month	
117	890	217	445			Extension for reply within third month	
118	1,390	218	695			Extension for reply within fourth month	
128	1,890	228	945			Extension for reply within fifth month	
119	310	219	155			Notice of Appeal	
120	310	220	155			Filing a brief in support of an appeal	
121	270	221	135			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,240	241	620			Petition to revive - unintentional	
142	1,240	242	620			Utility issue fee (or reissue)	
143	440	243	220			Design issue fee	
144	600	244	300			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	
123	50	123	50			Petitions related to provisional applications	
126	240	126	240			Submission of Information Disclosure Stmt	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355			Request for Continued Examination (RCE)	
169	900	169	900			Request for expedited examination of a design application	
Other fee (specify) <u>Statutory Disclaimer</u>							110.
* Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) (\$)							110.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20** =			
Independent Claims - 3** =			
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)					

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Stephen B. Ackerman	Registration No. (Attorney/Agent)	37,701
Signature		Telephone	845-452-5863
		Date	March 26, 01

EXPRESS MAIL CERTIFICATE

Express Mail No. EF230886448 US

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